



Our Philosophy

Scottsdale Capital Advisors, is a registered broker dealer registered in 50 states and is a Registered Investment

Advisor. Scottsdale Capital Advisors was founded on the belief that the client as well as the financial professional should come first. They should be supplied with the highest quality products, services and lowest cost structure available and treated in the same manner in which those of us at Scottsdale Capital Advisors would want to be treated. In our opinion many of today's investment firm's are out of touch with their customers and financial professionals. Our belief is to instill the best customer service, performance, and products for both the client and financial professional. Both entities must be satisfied, the client and the investment professional. Research as evidenced by the 1999/2000 market bubbles, and recent news and investigations concerning some of Wall Streets biggest players was tainted and biased. Our pledge to both the client and the financial professional is simple. Offer what our firm has found to be the best and deliver what was promised. Our promise is to offer the best win-win strategy in the business. Our strategy will allow the client, the financial professional, and our firm to succeed.

Scottsdale Capital Advisors is the Complete Investment Company. Our Firm offers you discount pricing schedules as well as custom, assisted schedules. You are provided a full line of investment services and products. Our Firm designs investments and, or services around the needs of the financial advisors and, or the client. Full scale trading, research, and advisory services are a phone call away. Competitive pricing structures and products allow both the client and

the financial advisor to receive the highest value added product or service. Scottsdale Capital Advisors is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$100,000 for claims for cash.) An explanatory brochure is available upon request or at www.sipc.org. Scottsdale Capital Advisors' clearing firm, Penion, provides supplemental insurance. This coverage does not protect against losses from any change in the market values of investments. Coverage does not protect against market volatility and, or a decline in value.

Scottsdale Capital Advisors was founded on the belief that the client as well as the financial professional should come first. After years of running through the maze of financial services companies and realizing that today's firms lacked the services, cost structure, flexibility, honesty, moral and ethical character, and infrastructure to compete in today's ever changing market, John & Justine Hurry left the old platform and created Scottsdale Capital Advisors. Their goal is to create a firm that focuses on what the client needs rather than what the firm's are selling. They created an environment that caters to both the financial professional and the investor. Their firm finds quality investments for the investor and advisor and delivers real unbiased research. Scottsdale Capital Advisors prides itself on doing the work and finding out the facts Wall Street cannot or does not do. Their firm delivers investment-banking products that put the investors first while at the same time delivering a fair level of capital to the company or entity in question. Helping investors on continuous basis and giving them the tools to do it is the goal of Scottsdale Capital Advisors. In providing the resources and opportunities that make these things possible, the investor, financial professional, and the firm win.



Questions & Answers

Question: Are my securities and cash safe at Scottsdale Capital Advisors?

Answer: All securities are cleared and held by Penion. Scottsdale Capital Advisors is a Member of SIPC, which protects securities customers of its members up to \$500,000

(including \$100,000 for claims for cash.) An explanatory brochure is available upon request or at www.sipc.org. Scottsdale Capital Advisors' clearing firm, Penion, provides supplemental insurance in the amount of \$34.5 million for accounts held at Penion. This coverage does not protect against losses from any change in the market values of investments. Coverage does not protect against market volatility and, or a decline in value. **Question:** Can Scottsdale Capital Advisors provide me with all the services of major Brokerage firm? **Answer:** Yes. Scottsdale Capital Advisors has access to all the products and services of larger firms as well as many products and services most firms do not offer their clients. See Scottsdale Capital Advisors' CRD. **Question:** Do you offer online trading and account access? **Answer:** Yes. Our Firm offers advanced trading platforms on request with advanced charts, graphs, real time quotes, and level II access. **Question:** Do you offer research? **Answer:** Yes. Our Company has both In House, and various fundamental research sources. Special research reports, In House research, or research at other firms are available on request. **Question:** Do you offer FREE check writing? **Answer:** Yes. **Question:** Do you offer Advisory Services? **Answer:** Yes. All kinds with many options and fees schedules and Plans. **Question:** Can you handle complex transactions like restricted stock, offshore accounts, large block transactions, hedges, and collars etc.? **Answer:** Of course. Our company takes pride in being able to solve the many problems large firms can not, and our consultants are experts at it. **Question:** Can you help me with my annuities, life insurance, disability insurance, and long term care? **Answer:** Absolutely. Completely unbiased opinions on a consulting basis and, or a product basis are available. Our Company has the flexibility to offer you products from most insurance companies. **Question:** Can I buy no load funds? **Answer:** Yes. Our Firm offers a mutual fund platform that is similar to many plans at other firms. **Question:** Can you help my firm find capital and advise us on how and when to raise public equity? **Answer:** Yes. Both Advisory and Investment Banking Services are ready and available. **Question:** Can you take my firm Public or syndicate an offering? **Answer:** Maybe, if you meet qualifications. **Question:** Can you raise money for our firm? **Answer:** Maybe, if you meet qualifications. **Question:** Do you charge account fees or inactivity fees? **Answer:** No, however a small custodian fee may be charged for retirement accounts. **Question:** Do you offer alternative Investments? **Answer:** No problem, you pick it, our consultants will find it. **Question:** Do you have syndicate business? **Answer:** Of course, Call us to be included on our contact list. **Question:** I need less help than most. Do you offer a discount service? **Answer:** Yes. Our Brokerage offers the Charles Schwab Published Discount rates less 10% (See Trading page) for broker assisted trades and online trades. **Question:** I need more help than most. Can you offer me the support and service I need at a competitive price. **Answer:** Absolutely. Call and schedule an appointment.

Why should you move to Scottsdale Capital Advisors?

Our Firm was founded on fixing and solving the issues and requests clients had been demanding and asking about. Clients wanted: better research, performance, products, advice, and service. Clients wanted: lower fees, free checking, free online access, and no irritating account fees. Clients demanded more of everything and less of the garbage Wall Street was dishing out. Clients wanted to know that the information was untarnished and designed for their benefit. Our Principals wanted all that for their valued clients as well. As a result Scottsdale Capital Advisors was born. Scottsdale Capital Advisors can engage in multiple types of business. For more information please see [CRD](#). Below are just some of the many reasons to join us.

- Free Checking Accounts
- Unbiased Research: Technical & Fundamental
- Experienced Consultants
- Full and Discount Service
- Huge array of products and services that marvel the largest companies
- Private Investments and Funds
- Scottsdale Capital Advisors is a Member of [SIPC](#), which protects securities customers of its members up to \$500,000 (including \$100,000 for claims for cash.) An explanatory brochure is available upon request or at www.sipc.org. Scottsdale Capital Advisors' clearing firm, [Penson](#), provides supplemental insurance in the amount of \$34.5 million for accounts held at [Penson](#). This coverage does not protect against losses from any change in the market values of investments. Coverage does not protect against market volatility and, or a decline in value.
- Top notch Service & Support
- Independently owned & operated in Scottsdale, Arizona
- Powerful Investment Plans
- Quality execution and state of the art trading systems
- Full line of fixed income and annuity products
- Useful account and investment technology
- Competitive pricing
- Thousands of Mutual Funds available
- Hundreds of Annuities
- Hundreds of Life and Insurance Companies available
- Research & Planning designed for you not Wall Street
- Our Firm takes the time to solve your problems
- Our firm will find a way or make one

If it is a security or insurance product (Annuity, Life, Health, & Disability) and they have a general selling agreement, our Firm can provide that product or service for you.

Products & Services

Advisory Investments

Advisory Planning

401k's & IRA's

ADR's

After hours trading

Annuities

Assisted Trading

Bonds

Bridge Financing / Loans

CD's certificates of deposit

Consulting Services

Convertible Bonds

Convertible Preferred

Corporate Bonds

Custom Investment Banking

Life Insurance

Lines of credit

Managed Money

Margin Accounts

Market Making

M&A / Investment Banking

Deferred Comp Plans

Disability/Long term Care

ECN access

ESOPS

Estate Planning

Exchange Traded Funds

Fee Based Accounts

Financial Planning

Flexible Fee Schedule

Free Checking Accounts

Health Insurance

Hedge Fund/Private Funds

Hourly Fee Terms

Investment Seminars

IPO's

Money Market Accounts

Money Purchase Plans

Monthly Fixed Fee Terms

Mortgage Financing

Municipal bonds

Mutual Funds

Off shores accounts

Online trading

Options

Preferred Stock

Private Placements

Profit Sharing Plans

Research In House & S&P

Research

Restricted Stock Sales

Retirement Planning

Rollover support, 401k, IRA

Stocks

Stocks Loans

Super Soes / Select Net

Tax Planning

Technical Analysis

Trust and Account Services

Unit Investment Trusts

144 stock loans

144 stock sales

www.ScottsdaleCapital.com

Main Office
7170 E. McDonald Drive, Suite 6
Scottsdale, AZ 85253
(866) 404-9051 Toll Free
(480) 603-4900 Local
(480-603-4901 Fax



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Member NASD & SIPC

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“Unbiased Advice and Information”
“Powerful Technology and Experience
to help guide you through the Investment Maze”
“One of the Best Securities Platforms in the
Business”